STATE OF VERMONT VERMONT BOARD OF MEDICAL PRACTICE LICENSING MATTER

Anesthesiologist Assistant Certification of LAUREN FELTZ, AA-C

STIPULATION, REENTRY PLAN, AND CONSENT ORDER FOR CERTIFICATION

Lauren Feltz, AA-C and the State of Vermont, by and through the Attorney General, Thomas J. Donovan, Jr., stipulate as follows:

I. Parties and Jurisdiction

- 1. Lauren Feltz, AA-C (hereinafter, "Applicant") filed an application for an anesthesiologist assistant certification in or about February of 2022.
- 2. Applicant has been out of practice for approximately six (6) years for medical reasons but wishes to resume their practice.
- 3. Applicant plans to practice at Southwestern Vermont Medical Center. Applicant has identified Shaan Alli, M.D. as their primary supervising physician.
- 4. Jurisdiction rests in the Vermont Board of Medical Practice ("Board") pursuant to Title 26, Chapter 29, and Title 3, Chapter 25 of the Vermont Statutes.

II. Terms and Conditions of Certification

5. Applicant is knowingly and voluntarily agreeing to this Stipulation. Applicant agrees and understands that by executing this document, they are waiving at this time any rights of due process that they may possess regarding the issuance of a Vermont anesthesiologist assistant certification with accompanying terms and conditions. Applicant agrees that the Board possesses and shall continue to maintain jurisdiction in this matter, including any required action to enforce the terms herein.

- 6. Applicant acknowledges that they have had full opportunity to confer with legal counsel regarding this matter and have chosen to proceed without legal counsel, or are satisfied with the legal advice they have received regarding this matter.
- 7. Applicant understands and agrees that the terms and conditions of this Stipulation shall be imposed concurrently with the issuance of Applicant's Vermont anesthesiologist assistant certification, and that the certification issued pursuant to this Stipulation shall be issued with the designation "CONDITIONED."
- 8. Applicant recognizes the responsibility of the Board is to protect the health, safety, and welfare of patients. Applicant agrees to continue to provide their full cooperation with the Board in this matter.
- 9. Applicant acknowledges that the purpose of this Stipulation is to establish terms and conditions governing their supervised return to their practice as an anesthesiologist assistant. Applicant agrees to and accepts all terms and conditions herein without reservations and to do so in exchange for the Board's approval of this Stipulation.
- 10. Applicant further agrees that this Stipulation, without more, does not create a right to an unconditioned certification and does not constitute a promise of any kind by the Board regarding continued or future certification.

III. Reentry Plan

- 11. Applicant's anesthesiologist assistant certification will be conditioned upon the following reentry plan, as set forth below.
- 12. If the primary supervisor can no longer supervise Applicant, Applicant shall immediately notify the Board in writing. Applicant shall identify a new proposed primary supervising physician within ninety (90) days and provide the Assigned Committee of the Board with their name and curriculum vitae. The Assigned Committee will provide written

- notification to Applicant indicating whether it approves of the new proposed primary supervisor.
- 13. Applicant and the primary supervisor shall ensure that Applicant completes the three-Phase re-entry program set forth in Exhibit A to this Agreement, consisting of seven (7) pages including attachments, previously executed by the Applicant and the primary supervising physician on February 11, 2022 and February 19, 2022.

14. As further set forth in Exhibit A:

- a. Applicant and the primary supervisor shall notify the Board and/or the Assigned
 Committee upon the completion of each Phase.
- b. Applicant and the primary supervisor agree that the primary supervisor shall discuss their observations regarding Applicant's ability to practice upon request by a Board member, investigators for the Board, or an Assistant Attorney General.
- c. Applicant and the primary supervisor agree that the primary supervisor will immediately notify the Board if there is any indication that Applicant has engaged in practice that does not meet the standard of care.
- 15. The Board, in its sole discretion and without need of notice or hearings, may extend the duration of the reentry plan described above, but only if:
 - a. Reporting or information from Applicant's primary supervisor indicates one or more areas of possible deficiency in Applicant's practice skills, knowledge, or performance; or
 - b. The Board receives credible information regarding a deficiency in Applicant's practice skills, knowledge, performance, or conduct. The Board will advise

Applicant of the adverse information and provide Applicant an opportunity to respond before any final action by the Board.

- 16. The terms of the reentry plan set forth in Exhibit A shall not be varied without the written consent of the Board.
- 17. At the end of Phase III of the reentry plan, Applicant shall provide to the Assigned Committee a final, written assessment from the primary supervising physician. The report shall include information regarding Applicant's competency, practice activities and workload, knowledge and skills, any problem or concerns, and ability to practice safely.
- 18. After the Applicant successfully completes Phase III of the reentry program, they may request relief from the conditions on their license related to the reentry program. The Board shall not take any action on that request without receiving the final written report from the primary supervising physician.

IV. Additional Terms

- 19. All terms and conditions of this Stipulation shall be imposed concurrently with Applicant's Vermont anesthesiologist assistant certification.
- 20. The parties agree that this Stipulation shall be a public document, shall be made part of Applicant's file, and shall be reported as a conditioned certification to other authorities and/or entities.
- 21. This Stipulation is subject to review and acceptance by the Board and shall not become effective until presented to and approved by the Board. If the Board rejects any part of this Stipulation, the entire agreement shall be considered void. However, should the terms and conditions of this Stipulation be deemed acceptable by the Board, the parties request that the Board enter an order adopting the facts, conclusions, and all terms and conditions of licensure as set forth herein, including that Applicant's certification is conditioned.

- 22. Applicant agrees that all terms and conditions herein may be adopted as an enforceable Order of the Board. Applicant agrees that the Board shall retain continuing jurisdiction in this matter and may enforce as necessary all terms and conditions herein.
- 23. This document may be executed in counterparts.

(signatures on following page)

FOR APPLICANT LAUREN FELTZ, AA-C:

I hereby accept the above Stipulation:

LAUREN FELTZ, AA-C

3/17/2022

Date

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FOR THE STATE OF VERMONT:

I hereby accept the above Stipulation:

3/17/2022

Date

THOMAS J. DONOVAN, JR. ATTORNEY GENERAL

C. Justin

Digitally signed by C Justin Sheng Date: 2022.03.17

By: Sheng

C. Justin Sheng, Esq., Vt. Bar No. 5259

Assistant Attorney General Vermont Department of Health 108 Cherry Street, PO Box 70 Burlington, Vermont 05402-0070 justin.sheng@vermont.gov

AS TO LAUREN FELTZ, AA-C

APPROVED AND ORDERED VERMONT BOARD OF MEDICAL PRACTICE

Signed on Behalf of the Vermont Board of Medical Practice

By:

Chair

Vermont Board of Medical Practice

Vote documented in the Vermont Board of Medical Practice meeting minutes, dated **April 6, 2022.**

Dated: April 6, 2022

Exhibit A

SOUTHWESTERN VERMONT MEDICAL CENTER ANESTHESIOLOGIST ASSISTANT RE-ENTRY PROGRAM LAUREN FELTZ, AA-C

Proposed Re-Entry Start Date: March 28, 2022

Primary Supervising Physician: Shaan Alli, MD

Vermont License No.: 042.0013110

Anesthesiologist Assistant Preceptor: Kelsey Buran, AA-C

Vermont License No.: 135.0000057

PHASE I - 2 TO 4 WEEKS

The Applicant will shadow clinical staff in the Operating Room, Endoscopy, and on patient floors at Southwestern Vermont Medical Center (SVMC) and observe the day-to-day workflows of the anesthesiologist assistant (Attachment A). Observation will include all anesthesia procedures (MAC/General/Regional/Epidural, etc.) The Applicant will be under the supervision of the Primary Supervising Physician or any of the Secondary Supervising Physicians and the designated AA Preceptor at all times during Phase I.

Each week the Primary Supervising Physician will complete an evaluation of the Applicant based on the competencies stated on the Competency Evaluation Form (Attachment B).

Phase I will conclude when the Primary Supervising Physician and the designated AA preceptor determine that the Applicant is competent in all areas of Phase I as delineated on the Competency Evaluation Form. The Primary Supervising Physician will provide the Vermont Board of Medical Practice copies of the completed Competency Evaluation Forms documenting the Applicant's performance one week prior to completion of Phase I.

PHASE II - 6 TO 8 WEEKS

During Phase II of the Applicant's Re-entry Program at SVMC either a Supervising Physician or the designated AA Preceptor will be physically present at all times when the Applicant is providing direct patient care. Direct patient care will include at least 80-120 clinical hours during Phase II. In this phase the Applicant will relearn how to administer anesthesia including MAC/General/Regional, Epidural, etc. The Applicant will log all direct patient care s/he provides on the Patient Care Log (Attachment C). The Patient Care Log will document the patient's name, date and time of procedure/service, type of procedure/service, type of anesthesia provided and any anesthetic considerations, and the name of the supervising physician. Proficiency in anesthesia record keeping is expected in Phase II. The Patient Care Log will be reviewed weekly with the Primary Supervising Physician.

Phase II will conclude when the Primary Supervising Physician has determined that the Applicant is competent in all areas of Phase II which will be documented on the Competency Evaluation Form. The Primary Supervising Physician will provide the Vermont Board of Medical Practice with copies of the completed Competency Evaluation Forms one week prior to completion of Phase II.

RE: LAUREN FELTZ, AA-C

PHASE III - 12 WEEKS

Phase III will focus on the Applicant's performance of all skills acquired in Phase I and Phase II with limited assistance. The Applicant will meet individually with the Primary Supervising Physician at least once a week for a month to review the Applicant's performance, knowledge and skills, ability to practice safely with a focus on any problems or concerns. For the following two months the Applicant will meet with the Primary Supervising Physician at least once a month.

All meetings between the Applicant and the primary supervising physician will include discussion regarding the care of individual patients and complex cases, chart review, record keeping, fund of knowledge, decision-making, communication and adherence to OR standards and anesthesia best practices. Any areas of weakness will be addressed at each meeting, and any requirements for self-study, continuing medical education and/or re-training will be made by the Primary Supervising Physician.

The Applicant will maintain a written record of the date, place and duration of each meeting and consultation with the Primary Supervising Physician on the Consultation Form (Attachment D) and will produce, upon request to the Vermont Board of Medical Practice, such written record for review and copying.

The Applicant will provide the Vermont Board of Medical Practice a monthly Competency Evaluation Form completed by the Primary Supervising Physician documenting the Applicant's performance during Phase III.

The Primary Supervising Physician will notify the Vermont Board of Medical Practice immediately if the Applicant has engaged in practice that does not meet the standard of care at Southwestern Vermont Medical Center at any time during the Re-entry Program.

I agree to the proposed Anesthesiologist Assistant Re-entry Program at Southwestern Vermont Medical Center. If requested, I give my consent to allow a Vermont Medical Board member or investigator or representative for the Vermont Assistant Attorney General to speak with my Primary Supervising Physician regarding my ability to practice as an Anesthesiologist Assistant in the State of Vermont.

Lauren Feltz, AA-C, Applicant

Shaan Alli, MD, Primary Supervising Physician

2/19/22

0937

Date

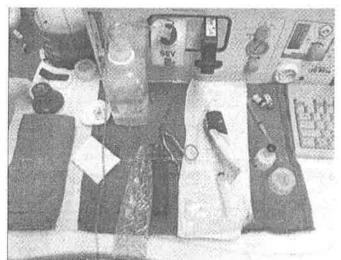
ATTACHMENT A

SOUTHWESTER VERMONT MEDICAL CENTER DEPARTMENT OF ANESTHESIA ORIENTATION FOR ANESTHESIOLOGIST ASSISTANT RE-ENTRY PROGRAM LAUREN FELTZ, AA-C

- We do a variety of cases at SVMC including obstetrics-gynecology, orthopedics, urology, general surgery, endoscopy/colonoscopy, pediatric dentistry, plastic, plastic surgery. Off-site procedures include sedation for interventional radiology procedures, intubations, arterial lines, and central lines in the emergency room and intensive care units.
- Each day the Applicant will report at 6:30 a.m. for cases beginning at 7:30. Pediatric dentistry cases start at 6:50 a.m. and the AA must report at 6:00 a.m. (please see the photo at the bottom of the page for the dental intubation set-up).
- We have 5 ORs on the main level and a 6th OR on the 3rd floor which is primarily used for C-sections on the Women's and Children's Unit.
- As a part of the re-entry program, it is expected that the Applicant will spend at least one day with each surgical subspecialty if possible. Any remaining time will be used to observe intubations, LMA placements, neuroaxial anesthesia and regional anesthesia procedures during Phase I. During Phase II emphasis will be placed on performing the procedure.
- Anesthesia record keeping should be observed with each case during Phase I and proficiency is expected in Phase II
- Room setup should be observed for each case on each day during Phases I and II.

Anesthesia Department Numbers:

- Dr. Shaan Alli, Chief Anesthesiologist: 802-279-5698
- Kelsey Robinson, CAA/Student Coordinator: 716-867-4094
- Mary Campbell, CAA: 770-401-5890
- Cody Holloway, CAA: 530-640-0220
- John Labert, CAA: 770-841-6139
- Dr. Tobe, Anesthesiologist: 626-864-1007
- Dr. Record, Anesthesiologist: 801-243-9686
- Dr. Caughey, Anesthesiologist: 734-945-5378



Basic Pediatric Dental Set-up

ATTACHMENT B

SOUTHWESTERN VERMONT MEDICAL CENTER COMPETENCY EVALUATION FORM FOR ANESTHESIOLOGIST ASSISTANT RE-ENTRY PROGRAM LAUREN FELTZ, AA-C

COMPETENCY	MET	NOT	COMMENTS
		MET	
ASA Classification (MAC/General/Regional,			
Epídural, etc.)			
Airway Management			
Bag-mask ventilation			
Insertion of oral/nasal airways			
Endotracheal intubation			
LMA placement			
Administration of spinal anesthesia			
Placement of central lines			
Placement of arterial lines			
General fund of knowledge			
Obtaining a medical history			
Performing a physical examination			
Developing an anesthesia plan			
Record keeping			
Patient safety			
Patient counseling			
Communication skills			
Overall decision making			
Adherence to OR standards and anesthesia best practices			

ATTACHMENT C

SOUTHWESTERN VERMONT MEDICAL CENTER PATIENT CARE LOG FOR ANESTHESIOLOGIST ASSISTANT RE-ENTRY PROGRAM LAUREN FELTZ, AA-C

Date	Time	Patient Name	Type of Anesthesia	Anesthetic Considerations	Procedure	Supervising MD
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Supervising Physician Signature	Review Date

ATTACHMENT D

SOUTHWESTERN VERMONT MEDICAL CENTER RECORD OF CONSULTATION MEETINGS FOR ANESTHESIOLOGIST ASSISTANT RE-ENTRY PROGRAM LAUREN FELTZ, AA-C AND SHAAN ALLI, MD

DATE	FOCUS OF DISCUSSION	RECOMMENDATIONS