ANNUAL SYNAR REPORT

42 U.S.C. 300x-26

OMB № 0930-0222

FFY 2020

State: Vermont

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OMB No. 0930-0222

Expiration Date: 05/31/2022

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INTRODUCTION

The Annual Synar Report (ASR) format provides the means for states to comply with the reporting provisions of the Public Health Service Act (42 U.S.C. 300x-26) and the Tobacco Regulation for the Substance Abuse Prevention and Treatment Block Grant (SABG) (45 C.F.R. 96.130 (e)).

How the Synar report helps the Center for Substance Abuse Prevention

In accordance with the tobacco regulations, states are required to provide detailed information on progress made in enforcing youth tobacco access laws (FFY 2019 Compliance Progress) and future plans to ensure compliance with the Synar requirements to reduce youth tobacco access rates (FFY 2020 Intended Use Plan). These data are required by 42 U.S.C. 300x-26 and will be used by the Secretary to evaluate state compliance with the statute. The information to be reported is public (45 CFR 96.130 (f)) and is not confidential. Part of the mission of the Center for Substance Abuse Prevention (CSAP) is to assist states by supporting Synar activities and providing technical assistance helpful in determining the type of enforcement measures and control strategies that are most effective. This information is helpful to CSAP in improving technical assistance resources and expertise on enforcement efforts and tobacco control program support activities, including state Synar program support services, through an enhanced technical assistance program involving conferences and workshops, development of training materials and guidance documents, and onsite technical assistance consultation.

How the Synar report can help states

The information gathered for the Synar report can help states describe and analyze substate needs for program enhancements. These data can also be used to report to the state legislature and other state and local organizations on progress made to date in enforcing youth tobacco access laws when aggregated statistical data from state Synar reports can demonstrate to the Secretary the national progress in reducing youth tobacco access problems. This information will also provide Congress with a better understanding of state progress in implementing Synar, including state difficulties and successes in enforcing retailer compliance with youth tobacco access laws.

¹The term "state" is used to refer to all the states and territories required to comply with Synar as part of the Substance Abuse Prevention and Treatment Block Grant Program requirements (42 U.S.C. 300x-64 and 45 C.F.R. 96.121).

Getting assistance in completing the Synar report

If you have questions about programmatic issues, you may call CSAP's Division of State Programs at (240) 276-2550 and ask for your respective State Project Officer or contact your State Project Officer directly by telephone or email. If you have questions about fiscal or grants management issues, you may call the Grants Management Officer, Office of Financial Resources, Division of Grants Management, at (240) 276-1422.

Where and when to submit the Synar report

The ASR must be received by SAMHSA no later than December 31, 2019 and must be submitted in the format specified by these instructions. Use of the approved format will avoid delays in the review and approval process. The chief executive officer (or an authorized designee) of the applicant organization must sign page one of the ASR certifying that the state has complied with all reporting requirements.

The state must upload one copy of the ASR using the online WebBGAS (Block Grant Application System). In addition, the following items must be uploaded to WebBGAS:

- FFY 2020 Synar Survey Results: States that use the Synar Survey Estimation System (SSES) must upload one copy of SSES Tables 1–8 (in Excel) to WebBGAS. Please note that, beginning with the FFY 2019 ASR, SSES will generate Tables 6, 7, and 8, which are based on the optional microdata on product type, retail outlet type, and whether identification was requested. If your state does not submit these optional data, Tables 6, 7, and 8 will be blank. Tables 6, 7, and 8 are generated for the convenience of the state, and states are not required to submit completed versions of Tables 6, 7, or 8. States that do not use SSES must upload one copy of ASR Forms 1, 4, and 5, and Forms 2 and 3, if applicable, (in Excel), as well as a database with the raw inspection data to WebBGAS.
- Synar Inspection Form: States must upload one blank copy of the inspection form used to record the result of each Synar inspection.
- Synar Inspection Protocol: States must upload a copy of the protocol used to train inspection teams on conducting and reporting the results of the Synar inspections. This document should be different than the Appendix C attached to the Annual Synar Report.
- A scanned copy of the signed Funding Agreements/Certifications

Each state SSA Director has been emailed a login ID and password to log onto the Synar section of the WebBGAS site.

FFY 2020: FUNDING AGREEMENTS/CERTIFICATIONS

The following form must be signed by the Chief Executive Officer or an authorized designee and submitted with this application. Documentation authorizing a designee must be attached to the application.

PUBLIC HEALTH SERVICES ACT AND SYNAR AMENDMENT

42 U.S.C. 300x-26 requires each state to submit an annual report of its progress in meeting the requirements of the Synar Amendment and its implementing regulation (45 C.F.R. 96.130) to the Secretary of the Department of Health and Human Services. By signing below, the chief executive officer (or an authorized designee) of the applicant organization certifies that the state has complied with these reporting requirements and the certifications as set forth below.

SYNAR SURVEY SAMPLING METHODOLOGY

The state certifies that the Synar survey sampling methodology on file with the Center for Substance Abuse Prevention and submitted with the Annual Synar Report for FFY 2020 is up-to-date and approved by the Center for Substance Abuse Prevention.

SYNAR SURVEY INSPECTION PROTOCOL

The state certifies that the Synar Survey Inspection Protocol on file with the Center for Substance Abuse Prevention and submitted with the Annual Synar Report for FFY 2020 is up-to-date and approved by the Center for Substance Abuse Prevention.

State: Vermont	
Name of Chief Executive Officer or Design	nee: Martha Maksym
Signature of CEO or Designee:	
Title: Deputy Secretary	Date Signed:
If signed by a designee, a	copy of the designation must be attached.

FFY: 2020 State: Vermont

SECTION I: FFY 2019 (Compliance Progress)

YOUTH ACCESS LAWS, ACTIVITIES, AND ENFORCEMENT

42 U.S.C. 300x-26 requires the states to report information regarding the sale/distribution of tobacco products to individuals under age 18.

1.	access si the last	ndicate any changes or additions to the state tobacco statute(s) relating to youth ince the last reporting year. If any changes were made to the state law(s) since reporting year, please upload a copy of the state law to WebBGAS. (see 42 100x-26.)
	a.	Has there been a change in the minimum sale age for tobacco products?
		⊠ Yes □ No
		If Yes, current minimum age: 19 20 21 Other (Please specify.)
	b.	Have there been any changes in state law that impact the state's protocol for conducting <i>Synar inspections?</i>
		⊠ Yes □ No
		If Yes, indicate change. (Check all that apply.) ☐ Changed to require that law enforcement conduct inspections of tobacco outlets ☐ Changed to make it illegal for youth to possess, purchase or receive tobacco ☐ Changed to require ID to purchase tobacco ☐ Changed definition of tobacco products ☐ Other change(s) (Please describe.) Age of minors to be used for Inspections Changed from 16 and 17 to 17-20 year old. Legislation passed during FFY did not take effect until 1 September 2019.
	c.	Have there been any changes in state law that impact the following?
		Licensing of tobacco vendors Yes No
		Penalties for sales to minors
		Vending machines
		categories to youth access law Yes No
2.		e how the Annual Synar Report (see 45 C.F.R. 96.130(e)) was made public he state prior to submission of the ASR. (Check all that apply.)
		Placed on file for public review
		Posted on a state agency Web site (Please provide exact Web address and the date ten the FFY 2020 ASR was posted to this Web address.)
		Web address: http://www.healthvermont.gov/alcohol-drugs/reports
		Date published:

		Notice published in a newspaper of newsletter
		Public hearing Appropriate in a prove release a press conference on discussed in a media interview.
		Announced in a news release, a press conference, or discussed in a media interview Distributed for review as part of the SABG application process
		Distributed for review as part of the SABO application process Distributed through the public library system
		Published in an annual register
		Other (Please describe.)
3.	Identify	the following agency or agencies (see 42 U.S.C. 300x-26 and 45 C.F.R. 96.130).
	a.	The state agency(ies) designated by the Governor for oversight of the Synar requirements:
		Vermont Agency of Human Services, Vermont Department of Health (VDH), Division of Alcohol and Drug Abuse Programs (ADAP)
		Has this changed since last year's Annual Synar Report?
		☐ Yes ⊠ No
	b.	The state agency(ies) responsible for conducting random, unannounced Synar inspections:
		Vermont Department of Liquor and Lottery (DLL)
		Has this changed since last year's Annual Synar Report?
		☐ Yes ⊠ No
	c.	The state agency(ies) responsible for enforcing youth tobacco access law(s):
		Vermont DLL
		Has this changed since last year's Annual Synar Report?
		☐ Yes ⊠ No
1.	-	the following agencies and describe their relationship with the agency ible for the oversight of the Synar requirements.
	a.	Identify the state agency responsible for tobacco prevention activities (the agency that receives the Centers for Disease Control and Prevention's National Tobacco Control Program funding). <u>Vermont Agency of Human Services, Vermont Department of Health, Division o</u>
		Health Promotion and Disease Prevention
	b.	Has the responsible agency changed since last year's Annual Synar Report? ☐ Yes ☐ No
	c.	Describe the coordination and collaboration that occur between the agency responsible for tobacco prevention and the agency responsible for oversight of

	the Synar requirements. (Check all that apply.) The two agencies
	Are the same
	Have a formal written memorandum of agreement
	Have an informal partnership
	Conduct joint planning activities
	Combine resources
	Have other collaborative arrangement(s) (<i>Please describe</i> .)
	No relationship
d.	Does a state agency contract with the Food and Drug Administration's Center for Tobacco Products (FDA/CTP) to enforce the youth access and advertising restrictions in the Family Smoking Prevention and Tobacco Control Act? Yes No (if no, go to Question 5)
e.	If yes, identify the state agency responsible for enforcing the youth access and advertising restrictions in the Family Smoking Prevention and Tobacco Control Act (the agency that is under contract to the Food and Drug Administration's Center for Tobacco Products (FDA/CTP)). DLL
f.	Has the responsible agency changed since last year's Annual Synar Report? ☐ Yes ☐ No
g.	Describe the coordination and collaboration that occur between the agency contracted with the FDA to enforce federal youth tobacco access laws and the agency responsible for oversight of the Synar requirements. (<i>Check all that apply.</i>) The two agencies:
	Are the same
	Have a formal written memorandum of agreement
	Have an informal partnership
	Conduct joint planning activities
	Combine resources
	Have other collaborative arrangement(s) (<i>Please describe.</i>) <u>Current MOU</u>
	expired 9/30/19 and is in the process of being updated and reviewed by legal with
	signatures expected no later than 11/30/19
	☐ No relationship
h.	Does the state use data from the FDA enforcement inspections for Synar survey reporting? ☐ Yes ☒ No

5.		Inswer the following questions regarding the state's activities to enforce the routh access to tobacco law(s) in FFY 2019 (see 42 U.S.C. 300x-26 and 45 C.F.R. e)).
	a.	Which one of the following describes the enforcement of state youth access to tobacco laws carried out in your state? (Check one category only.)
		 ☐ Enforcement is conducted exclusively by local law enforcement agencies. ☐ Enforcement is conducted exclusively by state agency(ies). ☐ Enforcement is conducted by both local and state agencies.

b. The following items concern penalties imposed for all violations of state youth access to tobacco laws by <u>LOCAL AND/OR STATE LAW ENFORCEMENT AGENCIES</u> (this does not include enforcement of local laws or federal youth tobacco access laws). Please fill in the number requested. If state law does not allow for an item, please mark "NA" (not applicable). If a response for an item is unknown, please mark "UNK." The chart must be filled in completely.

PENALTY	OWNERS	CLERKS	TOTAL
Number of citations issued	159	69	228
Number of fines assessed	159	69	228
Number of permits/licenses suspended	53		53
Number of permits/licenses revoked	0		0
Other (Please describe.)	0	0	0

Number of permits/ficenses suspended	33		33
Number of permits/licenses revoked	0		0
Other (Please describe.)	0	0	0
Are citations or warnings issued to reminors for inspections that are part of Yes No If "Yes" to 5c, please describe the state the survey results from retailers alerting teams:	of the Synar sur	vey? r minimizing 1	risk of bias to
Compliance testing in Vermont is cond store in a municipality will be tested on found evidence of retailers altering each Investigators. Compliance testing has befor retailers.	the same day. In other as to the	Historically w presence of o	ve have never our
Which one of the following best descri access to tobacco laws carried out in			-
Enforcement is conducted only at the survey.	ose outlets rand	omly selected	for the Synar
Enforcement is conducted only at a the Synar survey.	subset of outlets	not randomly	y selected for
Enforcement is conducted at a comb		•	
Did every tobacco outlet in the state in included enforcement of the state you		_	
☐ Yes ⊠ No			
What additional activities are conduc	cted in your sta	te to support	enforcement

f. What additional activities are conducted in your state to support enforcement and compliance with state youth tobacco access law(s)? (Check all that apply and briefly describe each activity in the text boxes below each activity.)

☐ Merchant education and/or training

The Office of Education within the Department of Liquor and Lottery (DLL) brings training and seminars to consumers, businesses, teenagers, parents, bartenders, store owners and anyone else who needs to know about how to make sure alcohol and tobacco products are only sold and used in legal ways. All sellers and servers of alcohol must be trained before they start working and then must be trained every 2 years thereafter. Training is available through seminars conducted by our DLL Educators as well as through our online training. VDH tobacco and community prevention grantees/coalitions support the DLL retainer trainings by attending and providing local data and additional education support to retailers.

Incentives for merchants who are in compliance (e.g., nonenforcement compliance checks in which compliant retailers are given positive reinforcement and noncompliant retailers are warned about youth access laws)

Many community grantees funded through VDH have created a positive way to highlight those retailers that pass their youth compliance check. For examples, some of our tobacco and community-based coalitions and grantees provide earned media acknowledgement through the town newspaper as well as receive a certificate they can display in their store. One example from some of our community tobacco grantees is the implementation of a "Star Store Program" that recognized retailers who have passed their compliance check by awarding them either a gold, silver or bronze level star.

□ Community education regarding youth access laws

In addition to the training provided by DLL, many tobacco grantees funded by VDH Tobacco Control Program work with their local retailers to assist in the coordination of in-person retailer trainings. They raise awareness and engagement of the community on the impact on youth tobacco use including through 1) educating the community on regulatory and zoning/planning approaches to address the time, place and manner of tobacco sales, 2) educating tobacco users and their families about free cessation services and supports available through VDH's 802Quits program, 3) delivering key messages from the statewide media campaigns through local activities, and 4) educating and engaging community members about dangers posed by e-cigarettes to youth including quit support available to end e-cigarette use.

The Tobacco Control Program funds fourteen community tobacco prevention grantees around the state, including four grantees funded to integrate their work with a federal grant program, the Regional Prevention Partnership (RPP), in areas of the state reporting the highest prevalence rates.

The Tobacco Control Program collaborates with the Agency of Education to train and support 19 youth groups, OVX (high school) and VKAT (middle school), to conduct 3 major education strategies: 1) educate local decision makers to reduce point of sale promotion and address outlet locations and density, 2) inform local decision makers to create smoke-free parks, public building entryways, multi-unit housing, business/college/hospital campuses, and 3) carry out local activities that support the statewide media campaigns throughout the year.

The Tobacco Program is working with its evaluator, JSI, to create a visual tool and report to compare the 2014 and 2017 store audit data. This report will assist in educating on the progress and challenges to reducing the promotion, price reduction, density and other point of sale issues impacting youth initiation, use and cessation activity in the community. It is anticipated to be completed in winter 2019.

Media use to publicize compliance inspection results

DLL posts inspection results on their website and in addition, community tobacco grantees use media to publicize comparison rate results and work with DLL to positively recognize retailers that pass their compliance checks. VDH tobacco pr4ogram also incorporates the tobacco compliance data into presentations.

Community mobilization to increase support for retailer compliance with youth access laws

Several community-based tobacco grantees in areas with poor compliance rates engage with retailers to provide additional education about youth compliance laws, their importance to community health and the impact of tobacco promotion and displays on use among youth. Engaging youth in implementing interventions to address tobacco use is key to strengthen community norms and achieving change.

The CounterBalance point of sale education and engagement campaign (www.counterbalancevt.com) continues to provide digital messaging and materials for tobacco grantees to address the impact of flavors in tobacco products. In 2019 the focus continued on flavors specifically with e-cigarettes. A new message package for digital promotion was created around the dangers of flavors around e-cigarettes for developing brains. The Tobacco Program, grantees, youth groups and Offices of Local Health also conducted community education.

On the <u>CounterBalance website</u>, there is a section highlighting retailers who have taken steps to stop their sale of tobacco products.

The Tobacco Program facilitates frequent meetings to discuss, plan and implement actions to reduce youth access and illegal sales and promotions. Colleagues from the Attorney General's Office, Department of Liquor and Lottery, Department of Education, Alcohol and Drug Abuse Prevention, Legal meet here at the Health Department. These meetings and frequent communications were instrumental in Vermont passing three prevention bills to reduce access to and purchase of tobacco products through increasing the age to purchase and possess to 21, restricting online purchasing of tobacco without a wholesale license, and applying a new 92% wholesale tax on e-cigarette products.

Other activities (<i>Please list.</i>)	

Vermont's Tobacco Control State Plan 2015-2020 is available at http://humanservices.vermont.gov/boards-committees/tobacco-board/documents-and-resources/vermont-tobacco-control-workplan/view The State plan contains goals and strategies to address and reduce youth access to tobacco products and promotion of tobacco in local communities. Numerous stakeholders refer to this state plan.

Over the past year, the Health Department has released a number of new materials to address youth vaping including Health Advisories for parents and providers and those who work with youth; parent and caregiver talking points to address vaping among youth, new message packages in a campaign aimed to increase perception of harm, called UnHyped, posters and outreach materials for schools including disseminating CDC curriculum and FDA education materials. In collaboration with Agency of Education, circulated a Memo on Electronic Vapor Product use to all school administrators. Department of Health also produced a toolkit for school personnel and contracted with the Vermont Children's Health Improvement Program to assess the needs of school nurses to identify and address student vaping. Health Department leadership and program staff participated in a handful of school and community education events delivering presentations and media interviews. Finally, the Youth Rally 2019 focused on flavored tobacco products and youth members of Our Voices Exposed provided testimony in several legislative committees. On World No Tobacco Day 2019 the Health Department celebrated the significant efforts of youth and youth advisors over the past year for their leadership.

SYNAR SURVEY METHODS AND RESULTS

The following questions pertain to the survey methodology and results of the Synar survey used by the state to meet the requirements of the Synar Regulation in FFY 2019 (see 42 U.S.C. 300x-26 and 45 C.F.R. 96.130).

6.	Has the sampling methodology changed from the previous year?
	☐ Yes ⊠ No
	The state is required to have an approved up-to-date description of the Synar sampling methodology on file with CSAP. Please submit a copy of your Synar Survey Sampling Methodology (Appendix B). If the sampling methodology changed from the previous reporting year, these changes must be reflected in the methodology submitted.
	a. If yes, describe how and when this change was communicated to SAMHSA
	Please answer the following questions regarding the state's annual random, unannounced inspections of tobacco outlets (see 45 C.F.R. $96.130(d)(2)$).
	a. Did the state use the optional Synar Survey Estimation System (SSES) to analyze the Synar survey data?
	☐ Yes ⊠ No

If **Yes**, upload a copy of SSES tables 1–8 (in Excel) to WebBGAS. Then go to Question 8. If **No**, continue to Question 7b.

b.	Report the weighted and unweighted Retailer Violation Rate (RVR) estimates, the standard error, accuracy rate (number of eligible outlets divided by the total number of sampled outlets), and completion rate (number of eligible outlets inspected divided by the total number of eligible outlets).						
	Unweighted RVR				0.094		
	Weighted RVR				0.0941		
	Standard error (s.	e.) of t	the (weigl	hted) R	VR <u>0.0020</u>		
	Fill in the blanks t interval.	o calc	ulate the	right li	<u>mit</u> of the right-sid	led 95% c	confidence
	0.0941	+	(1.645	×	0.0020)	=	.0034 (Should be .0974)
	RVR Estimate	plus	(1.645	times	Standard Error	equals	Right Limit
	Accuracy rate				95.6%		
	Completion rate				97.5%		
d.		applied al) (See	es.) e Appendi Provide fo	ix A: Fo) (Attach d r attach a	completed nd explain
	1 0		1		<i>1 3</i>		,
e.	If stratification wa or cluster this year		l, did any	strata	in the sample cont	ain only o	one outlet
	☐ Yes ☐ No ⊠] No st	ratificati	on			
	If Yes, explain how	this si	tuation we	as dealt	with in variance es	timation.	
f.	Was a cluster sam	ple de	sign used	?			
	☐ Yes ⊠ No						
	If Yes, fill out and a answer the following			See App	endix A: Forms 1–5	Template	s), and

		Were any certainty primary sampling units selected this year?	
		☐ Yes ☐ No	
		If Yes, explain how the certainty clusters were dealt with in variance	estimation.
	g.	Report the following outlet sample sizes for the Synar survey.	
			Sample Size
		Effective sample size (sample size needed to meet the SAMHSA precision requirement assuming simple random sampling)	1014
		Target sample size (the product of the effective sample size and the design effect)	1014
		Original sample size (inflated sample size of the target sample to counter the sample attrition due to ineligibility and noncompletion)	1014
		Eligible sample size (number of outlets found to be eligible in the sample)	989
		Final sample size (number of eligible outlets in the sample for which an inspection was completed)	946
	⊠ Yes If Yes, a	answer the following questions about its coverage.	
		. Percent coverage from the latest Sampling frame coverage study	
	c.	⊠Yes □ No	Study)
		If Yes , please complete Appendix D (List Sampling Frame Coverage and submit it with the Annual Synar Report.	Siuay)
	d	. The calendar year of the next coverage study planned: N/A	
9.	Has the	e Synar survey inspection protocol changed from the previous year	?
	☐ Yes	⊠ No	
	protoco (Appen be refle	te is required to have an approved up-to-date description of the Synar sol on file with CSAP. Please submit a copy of your Synar Survey Inspection C). If the inspection protocol changed from the previous year, these exted in the protocol submitted. In If Yes, describe how and when this change was communicated to	tion Protocol e changes must

If No, go to Question 7g.

Provide the inspection period: From 10/01/18 to 09/30/19 MM/DD/YY MM/DD/YY
Provide the number of youth inspectors used in the current inspection year:
<u>21</u>
NOTE: If the state uses SSES, please ensure that the number reported in 9c matches that reported in SSES Table 4, or explain any difference.
]

d. Fill out and attach Form 5 in Appendix A (Forms 1–5). (Not required if the state used SSES to analyze the Synar survey data.)

SECTION II: FFY 2020 (Intended Use):

Public Law 42 U.S.C. 300x-26 of the Public Health Service Act and 45 C.F.R. 96.130 (e) (4, 5) require that the states provide information on future plans to ensure compliance with the Synar requirements to reduce youth tobacco access.

1.	in the upcoming year, does the state anticipate any changes in:					
	Synar sampling methodology Yes No					
	Synar inspection protocol \boxtimes Yes \square No					
	If changes are made in either the Synar sampling methodology or the Synar inspection protocol, the state is required to obtain approval from CSAP prior to implementation of the change and file an updated Synar Survey Sampling Methodology (Appendix B) or an updated Synar Survey Inspection Protocol (Appendix C), as appropriate.					
2.	Please describe the state's plans to maintain and/or reduce the target rate for Synar inspections to be completed in FFY 2020. Include a brief description of plans for law enforcement efforts to enforce youth tobacco access laws, activities that support law enforcement efforts to enforce youth tobacco access laws, and any anticipated changes in youth tobacco access legislation or regulation in the state.					
	Continued enforcement efforts and training for tobacco outlet personnel will enable Vermont to reach the target rates set forth by VT and CSAP. DLL will continue to conduct inspections across the state and will conduct a census of all tobacco outlets statewide. DLL will attempt inspections again on those outlets that are eligible but not successfully inspected. The inspection plan, as of 9/1/19, allows for minors age 17-20 to be youth inspectors.					
3.	Describe any challenges the state faces in complying with the Synar regulation. (Check all that apply and describe each challenge in the text box below it.)					
	a. Limited resources for law enforcement of youth access laws					
	Compensation for youth inspectors at \$10/hour is not competitive given the amount and frequency of time worked by the youth inspectors.					
	c. Limitations in the state youth tobacco access laws					
	d. Limited public support for enforcement of youth tobacco access laws					
	e. Limitations on completeness/accuracy of list of tobacco outlets					

f. Limited expertise in survey methodology						
g. Laws/regulations limiting the use of minors in tobacco inspections						
h. Difficulties recruiting youth inspectors						
i. Issues regarding the balance of inspections conducted by youth inspectors age 15 and under						
j. Issues regarding the balance of inspections conducted by one gender of youth inspectors						
k. Geographic, demographic, and logistical considerations in conducting inspections						
l. Cultural factors (e.g., language barriers, young people purchasing for their elders)						
m. Issues regarding sources of tobacco under tribal jurisdiction						
n. Other challenges (Please list.)						

APPENDIX A: FORMS 1–5 TEMPLATES

FORM 1 (Required for all states not using the Synar Survey Estimation System (SSES) to analyze the Synar Survey data)

Complete Form 1 **in Excel** to report sampling frame and sample information and to calculate the unweighted retailer violation rate (RVR) using results from the current year's Synar survey inspections.

Instructions for Completing Form 1: In the top right-hand corner of the **Excel** form, provide the state name and reporting federal fiscal year (FFY 2020). Provide the remaining information by stratum if stratification was used. Make copies of the form if additional rows are needed to list all the strata.

- Column 1: If stratification was used:
 - 1(a) Sequentially number each row.
 - 1(b) Write in the name of each stratum. All strata in the state must be listed.

If no stratification was used:

- 1(a) Leave blank.
- 1(b) Write "state" in the first row (indicates that the whole state is a single stratum).

Note for unstratified samples: For Columns 2–5, wherever the instruction refers to "each stratum," report the specified information for the state as a whole.

- Column 2: 2(a) Report the number of over-the-counter (OTC) outlets in the sampling frame in each stratum.
 - 2(b) Report the number of vending machine (VM) outlets in the sampling frame in each stratum.
 - 2(c) Report the combined total of OTC and VM outlets in the sampling frame in each stratum.
- Column 3: 3(a) Report the estimated number of eligible OTC outlets in the OTC outlet population in each stratum.
 - 3(b) Report the estimated number of eligible VM outlets in the VM outlet population in each stratum.
 - 3(c) Report the combined total estimated number of eligible OTC and VM outlets in the total outlet population in each stratum.

The estimates for Column 3 can be obtained from the Synar survey sample as the weighted sum of eligible outlets by outlet type.

- Column 4: 4(a) Report the number of eligible OTC outlets for which an inspection was completed, for each stratum.
 - 4(b) Report the numbers of eligible VM outlets for which an inspection was completed, for each stratum.
 - 4(c) Report the combined total of eligible OTC and VM outlets for which an inspection was completed, for each stratum.
- Column 5: 5(a) Report the number of OTC outlets found in violation of the law as a result of completed inspections, for each stratum.
 - 5(b) Report the number of VM outlets found in violation of the law as a result of completed inspections, for each stratum.
 - 5(c) Report the combined total of OTC and VM outlets found in violation of the law as a result of completed inspections, for each stratum.

Totals: For each subcolumn (a–c) in Columns 2–5, provide totals for the state as a whole in the last row of the table. These numbers will be the sum of the numbers in each row for the respective column.

FORM 1 (Required for all states not using the Synar Survey Estimation System [SSES] to analyze the Synar Survey data.)

	Summary of Synar Inspection Results by Stratum State: Vermont FFY: 2020												
((1)		(2)			(3)			(4)		(5)		
STRATUM			ER OF OUT IPLING FR		ESTIMATED NUMBER OF ELIGIBLE OUTLETS IN POPULATION		NUMBER OF OUTLETS INSPECTED		NO. OF OUTLETS FOUND IN VIOLATION DURING INSPECTIONS				
(a) Row #	(b) Stratum Name	(a) Over-the- Counter (OTC)	(b) Vending Machines (VM)	(c) Total Outlets (2a+2b)	(a) Over-the- Counter (OTC)	(b) Vending Machines (VM)	(c) Total Outlets (3a+3b)	(a) Over-the- Counter (OTC)	(b) Vending Machines (VM)	(c) Total Outlets (4a+4b)	(a) Over-the- Counter (OTC)	(b) Vending Machines (VM)	(c) Total Outlets (5a+5b)
		1014	0	1014	989	0	989	946	0	946	89	0	89
		1014	0	1014	989	0	989	946	0	946	89	0	89

RECORD COLUMN TOTALS ON LAST LINE (LAST PAGE ONLY IF MULTIPLE PAGES ARE NEEDED).

FORM 2 (Optional)

Appropriate for stratified simple or systematic random sampling designs.

Complete Form 2 in Excel to calculate the weighted RVR. This table (in Excel form) is designed to calculate the weighted RVR for stratified simple or systematic random sampling designs, accounting for ineligible outlets and noncomplete inspections encountered during the annual Synar survey.

Instructions for Completing Form 2: In the top right-hand corner of the **Excel** form, provide the state name and reporting federal fiscal year (FFY 2020).

- Column 1: Write in the name of each stratum into which the sample was divided. These should match the strata reported in Column 1(b) of Form 1.
- Column 2: Report the number of outlets in the sampling frame in each stratum. These numbers should match the numbers reported for the respective strata in Column 2(c) of Form 1.
- Column 3: Report the original sample size (the number of outlets originally selected, *including* substitutes or replacements) for each stratum.
- Column 4: Report the number of sample outlets in each stratum that were found to be eligible during the inspections. Note that this number must be less than or equal to the number reported in Column 3 for the respective strata.
- Column 5: Report the number of eligible outlets in each stratum for which an inspection was completed. Note that this number must be less than or equal to the number reported in Column 4. These numbers should match the numbers reported in Column 4(c) of Form 1 for the respective strata.
- Column 6: Report the number of eligible outlets inspected in each stratum that were found in violation. These numbers should match the numbers reported in Column 5(c) of Form 1 for the stratum.
- Column 7: Form 2 (in Excel form) will automatically calculate the stratum RVR for each stratum in this column. This is calculated by dividing the number of inspected eligible outlets found in violation (Column 6) by the number of inspected eligible outlets (Column 5). The state unweighted RVR will be shown in the Total row of Column 7.
- Column 8: Form 2 (in Excel form) will automatically calculate the estimated number of eligible outlets in the population for each stratum. This calculation is made by multiplying the number of outlets in the sampling frame (Column 2) times the number of eligible outlets (Column 4) divided by the original sample size (Column 3). Note that these numbers will be less than or equal to the numbers in Column 2.
- Column 9: Form 2 (in Excel form) will automatically calculate the relative stratum weight by dividing the estimated number of eligible outlets in the population for each stratum in Column 8 by the Total of the values in Column 8.
- Column 10: Form 2 (in Excel form) will automatically calculate each stratum's contribution to the state weighted RVR by multiplying the stratum RVR (Column 7) by the relative stratum weight (Column 9). The weighted RVR for the state will be shown in the Total row of Column 10.
- Column 11: Form 2 (in Excel form) automatically calculates the standard error of each stratum's RVR (Column 7). The standard error for the state weighted RVR will be shown in the Total row of Column 11.
- TOTAL: For Columns 2–6, Form 2 (in Excel form) provides totals for the state as a whole in the last row of the table. For Columns 7–11, it calculates the respective statistic for the state as a whole.

FORM 2 (Optional) Appropriate for stratified simple or systematic random sampling designs.

Calculation of Weighted Retailer Violation Rate State: Vermont **FFY:** 2020 (4) (8) (10)(2) **N** N'=N(n1/n)n1 (6)(7) (9) pw Number of (5) X p=x/n2Estimated w=N'/Total Stratum (11)Number of n2 Number of Stratum Number of Column 8 (3) Sample Contribution s.e. (1) Outlets Outlets Number of Outlets Retailer Eligible Relative to State Standard n Original Stratum in Sampling Found Outlets Found Violation Outlets in Stratum Weighted Error of Name Frame Sample Size Eligible Inspected in Violation Rate Population Weight **RVR** Stratum RVR State 1014 1014 989 946 89 0.094 989 1.00000 0.0941 0.0020 1,014 1.014 946 89 **Total** 989 0.094 989 1.0000 0.0941 0.0020

 $\,N\,\,$ - $\,$ number of outlets in sampling frame

n - original sample size (number of outlets in the original sample)

n1 - number of sample outlets that were found to be eligible

 $n2\,\,$ - $\,$ number of eligible outlets that were inspected

x - number of inspected outlets that were found in violation

p - stratum retailer violation rate (p=x/n2)

N' - estimated number of eligible outlets in population (N'=N*n1/n)

w - relative stratum weight (w=N'/Total Column 8)

pw - stratum contribution to the weighted RVR

s.e. - standard error of the stratum RVR

FORM 3 (Required when a cluster design is used for all states not using the Synar Survey Estimation System [SSES] to analyze the Synar survey data.)

Complete Form 3 **in Excel** to report information about primary sampling units when a cluster design was used for the Synar survey.

Instructions for Completing Form 3: In the top right-hand corner of the **Excel** form, provide the state name and reporting federal fiscal year (FFY 2020).

Provide information by stratum if stratification was used. Make copies of the form if additional rows are needed to list all the strata.

Column 1: Sequentially number each row.

Column 2: If stratification was used: Write in the name of stratum. All strata in the state must be

listed.

If no stratification was used: Write "state" in the first row to indicate that the whole state

constitutes a single stratum.

Column 3: Report the number of primary sampling units (PSUs) (i.e., first-stage clusters) created for

each stratum.

Column 4: Report the number of PSUs selected in the original sample for each stratum.

Column 5: Report the number of PSUs in the final sample for each stratum.

TOTALS: For Columns 3–5, provide totals for the state as a whole in the last row of the table.

Summary of Clusters Created and Sampled									
	State: Vermont								
			FFY: 2020						
(1) Row#	(2) Stratum Name	(3) Number of PSUs Created	(4) Number of PSUs Selected	(5) Number of PSUs in the Final Sample					
N/A	N/A	N/A	N/A	N/A					
	Total	0	0	0					

FORM 4 (Required for all states not using the Synar Survey Estimation System [SSES] to analyze the Synar Survey data)

Complete Form 4 **in Excel** to provide detailed tallies of ineligible sample outlets by reasons for ineligibility and detailed tallies of eligible sample outlets with noncomplete inspections by reasons for noncompletion.

Instructions for Completing Form 4: In the top right-hand corner of the **Excel** form, provide the state name and reporting federal fiscal year (FFY 2020).

Column 1(a): Enter the number of sample outlets found ineligible for inspection by reason for ineligibility. Provide the total number of ineligible outlets in the row marked "Total."

Column 2(a): Enter the number of eligible sample outlets with noncomplete inspections by reason for noncompletion. Provide the total number of eligible outlets with noncomplete inspections in the row marked "Total."

Inspection Tallies by Reason of Ineligibility or Noncompletion						
State: Vermont						
	FFY: 2020					
(1) INELIGIBLE		(2) ELIGIBLE				
Reason for Ineligibility	(a) Counts	Reason for Noncompletion	(a) Counts			
Out of business	12	In operation but closed at time of visit	40			
Does not sell tobacco products	11	Unsafe to access	0			
Inaccessible by youth	0	Presence of police	0			
Private club or private residence	0	Youth inspector knows salesperson	4			
Temporary closure	1	Moved to new location	0			
Unlocatable	0	Drive-thru only/youth inspector has no driver's license	0			
Wholesale only/Carton sale only	0	Tobacco out of stock	0			
Vending machine broken	0	Ran out of time	0			
Duplicate	0	Other noncompletion reason(s) (Describe.)	0			
Other ineligibility reason(s) (Describe.)	0					
Total	24	Total	44			

FORM 5 (Required for all states not using the Synar Survey Estimation System [SSES] to analyze the Synar survey data)

Complete Form 5 **in Excel** to show the distribution of outlet inspection results by age and gender of the youth inspectors.

Instructions for Completing Form 5: In the top right-hand corner of the **Excel** form, provide the state name and reporting federal fiscal year (FFY 2020).

Column 1: Enter the number of attempted buys by youth inspector age and gender.

Column 2: Enter the number of successful buys by youth inspector age and gender.

If the inspectors are age eligible but the gender of the inspector is unknown, include those inspections in the "Other" row. Calculate subtotals for males and females in rows marked "Male Subtotal" and "Female Subtotal." Sum subtotals for Male, Female, and Other and record in the bottom row marked "Total." Verify that that the total of attempted buys and successful buys equals the total for Column 4(c) and Column 5(c), respectively, on Form 1. If the totals do not match, please explain any discrepancies.

	Synar Survey Inspector Characteristics				
		State: Vermont FFY: 2020			
	(1) Attempted Buys	(2) Successful Buys			
Male					
15 years	0	0			
16 years	409	39			
17 years	314	38			
18 years	9	1			
19 years	3	0			
20 years	0	0			
Male Subtotal	735	78			
Female					
15 years	0	0			
16 years	7	1			
17 years	99	10			
18 years	6	0			
19 years	9	0			
20 years	1	0			
Female Subtotal	122	11			
Other	0	0			
Total	857	89			

APPENDIXES B & C: FORMS

Instructions

Appendix B (Sampling Design) and Appendix C (Inspection Protocol) are to reflect the state's CSAP-approved sampling design and inspection protocol. These appendixes, therefore, should generally describe the design and protocol and, with the exception of Question #10 of Appendix B, are not to be modified with year-specific information. Please note that any changes to either appendix must receive CSAP's advance, written approval. To facilitate the state's completion of this section, simply cut and paste the previously approved sampling design (Appendix B) and inspection protocol (Appendix C) and respond to Question #10 of Appendix B to provide the requested information about sample size calculations for the Synar survey conducted in FFY 2019.

APPENDIX B: SYNAR SURVEY SAMPLING METHODOLOGY

	State: Vermont FFY: 2020						
1. What type of sai	mpling f	rame is used?					
List fran	ne (Go to	Question 2.)					
☐ Area fra	me (Go	to Question 3.)					
List-assi	isted area	a frame (Go to Question 2.)					
a brief descripti including how n how often the lis Use the corresp 1 – Statewide	2. List all sources of the list frame. Indicate the type of source from the list below. Provide a brief description of the frame source. Explain how the lists are updated (method), including how new outlets are identified and added to the frame. In addition, explain how often the lists are updated (cycle). (After completing this question, go to Question 4.) Use the corresponding number to indicate Type of Source in the table below. 1 – Statewide commercial business list 4 – Statewide retail license/permit list						
2 – Local cor 3 – Statewide		usiness list 5 – Statewide liquor license/permit list 6 – Other	icense/permit list				
Name of Frame Source	Type of Source	Description	Updating Method and Cycle				
DLL License List	3	Statewide database of all tobacco license holders	DLL is solely responsible for issuing tobacco licenses. The inspectors update the database monthly by notifying DLL of any closed vendors of tobacco or alcohol products.				
	e is used,	describe how area sampling units a	re defined and formed.				
N/A							
	a. Is any area left out in the formation of the area frame?Yes No						
	If Yes , what percentage of the state's population is not covered by the area frame?%						
_	_	ires that vending machines be inspec achines included in the Synar survey					
☐ Yes ⊠ No	-	•					

	all that c	apply.
	\boxtimes	State law bans vending machines.
	\Box	State law bans vending machines from locations accessible to youth.
		State has a contract with the FDA and is actively enforcing the vending machine
	_	requirements of the Family Smoking Prevention and Tobacco Control Act.
		Other (Please describe.)
	If Y	es, please indicate how likely it is that vending machines will be sampled.
	<u>1) 1</u>	es, pieuse inaicaie now tikety it is that venaing machines witi be sampled.
	·	Vending machines are sampled separately to ensure vending machines are included
		the sample
		Vending machines are sampled together with over the counter outlets, so it is ssible that no vending machines were sampled, however they are included in the
	-	npling frame and have a non-zero probability of selection
		Other reasons (Please describe.)
_	Which a	notogowy bolow boat degowibes the sample design? (Check subveys)
5.		category below best describes the sample design? (Check only one.)
		Census (STOP HERE: Appendix B is complete.)
	Un	stratified statewide sample:
		Simple random sample (Go to Question 9.)
		Systematic random sample (Go to Question 6.)
		Single-stage cluster sample (Go to Question 8.)
		Multistage cluster sample (Go to Question 8.)
	Str	ratified sample:
		Simple random sample (Go to Question 7.)
		Systematic random sample (Go to Question 6.)
		Single-stage cluster sample (Go to Question 7.)
		Multistage cluster sample (Go to Question 7.)
		Other (Please describe and go to Question 9.)
6	Dogorib	e the systematic sampling methods. (After completing Question 6, go to Question 7
υ.		cation is used. Otherwise go to Question 9.)
7.	Provide	the following information about stratification.
	a.	Provide a full description of the strata that are created.
		*
	_	
	b.	Is clustering used within the stratified sample?
		Yes (Go to Question 8.)
		No (Go to Question 9.)

If No, please indicate the reason(s) they are not included in the Synar survey. Please check

8.	Provide	the following information about clustering.
	a.	Provide a full description of how clusters are formed. (If multistage clusters are used, give definitions of clusters at each stage.)
	b.	Specify the sampling method (simple random, systematic, or probability proportional to size sampling) for each stage of sampling and describe how the $method(s)$ is (are) implemented.
9.	Provide	the following information about determining the Synar Sample.
	0	Was the Synar Survey Estimation System (SSES) used to calculate the sample
	a.	size?
		Yes (Respond to part b.)
		No (Respond to part c and Question 10c.)
	h	SSES Sample Size Calculator used?
	υ.	State Level (Respond to Question 10a.)
		Stratum Level (Respond to Question 10a and 10b.)
	c.	Provide the formulas for determining the effective, target, and original outlet sample sizes.
10		e the following information about sample size calculations for the Synar survey cted in FFY 2019.
	a.	If the state uses the sample size formulas embedded in the SSES Sample Size
		Calculator to calculate the state level sample size, please provide the following information:
		Inputs for Effective Sample Size:
		RVR:
		Frame Size:
		Input for Target Sample Size:
		Design Effect:
		Inputs for Original Sample Size:
		Safety Margin:
		Accuracy (Eligibility) Rate:

Completion Rate:

b.	If the state uses the sample size formulas embedded in the SSES Sample Size Calculator to calculate the stratum level sample sizes, please provide the stratum level information:			
c.	If the state does not use the sample size formulas embedded in the SSES			
	Sample Size Calculator, please provide all inputs required to calculate the			
	effective, target, and original sample sizes as indicated in Question 9.			

APPENDIX C: SYNAR SURVEY INSPECTION PROTOCOL SUMMARY

		State:	Vermont
		FFY:	2020
In	spection I	nd to WebBGAS a copy of the Synar inspection form und Form" and a copy of the protocol used to train inspection e results of the Synar inspections under the heading "Sy	n teams on conducting and
۱.	How do	es the state Synar survey protocol address the followin	g?
	a.	Consummated buy attempts?	
		☑ Required☐ Permitted under specified circumstances (Describe:☐ Not permitted)
	b.	Youth inspectors to carry ID?	
		☑ Required☑ Permitted under specified circumstances (Describe:☑ Not permitted)
	c.	Adult inspectors to enter the outlet?	
		☑ Required☐ Permitted under specified circumstances (Describe:☐ Not permitted)
	d.	Youth inspectors to be compensated?	
		☑ Required☐ Permitted under specified circumstances (Describe:☐ Not permitted)
2.	-	the agency(ies) or entity(ies) that actually conduct the aspections of tobacco outlets. (Check all that apply.)	random, unannounced
		Law enforcement agency(ies) State or local government agency(ies) other than law enforcement contractor(s) Other Other Department of Liquor and	
	LlS	st the agency name(s): <u>Vermont Department of Liquor and</u>	i Louely

3.	represe	ar inspections combined with law enforcement efforts (i.e., do law enforcement ntatives issue warnings or citations to retailers found in violation of the law at of the inspection?)?
		Always Usually Sometimes Rarely Never
4.	Describ	e the type of tobacco products that are requested during Synar inspections.
	a.	What type of tobacco products are requested during the inspection?
		 ☐ Cigarettes ☐ Small Cigars ☐ Cigarillos ☐ Smokeless Tobacco ☐ Electronic Cigarettes/Electronic Nicotine Delivery Systems (ENDS) ☐ Other
	b.	Describe the protocol for identifying what types of products and what brands of products are requested during an inspection.
		The prior sale of a type of product drives what type of product is attempted to
		purchase in a follow up recheck inspection. Newly deemed products by the FRA, such as E-cigarettes and ENDS have priority for attempted sales.
5a.	Describ	e the methods used to recruit, select, and train adult supervisors.
	Adult su	pervisors are sworn law enforcement officers employed by DLL
5b.	. Describ	e the methods used to recruit, select, and train youth inspectors.
	inspecto	vestigators are responsible for the recruitment of minor/youth inspectors. All youth rs are trained by DLL Investigators before going out into the field and all youth rs are required to read through the procedures and sign them prior to starting an on.
6.		re specific legal or procedural requirements instituted by the state to address e of youth inspectors' immunity when conducting inspections?
	a.	Legal
		(If Yes, please describe.)
		Please see attached, "Procedures for Tobacco Purchase Compliance Survey"
	b.	Procedural
		⊠ Yes □ No
		(If Yes , please describe.)
		Please see attached, "Procedures for Tobacco Purchase Compliance Survey"

/•		re specific legal or procedural requirements instituted by the state to address e of the safety of youth inspectors during all aspects of the Synar inspection?
	a.	Legal
		☐ Yes ⊠ No
		(If Yes , please describe.)
	b.	Procedural
		∑ Yes
		(If Yes , please describe.)
		(1) 103, preuse deservoe.)
8.	Are the	The procedures applied during Synar inspections mirror those outlined by the FDA
8.	inspecti	
8.	inspecti training	The procedures applied during Synar inspections mirror those outlined by the FDA re any other legal or procedural requirements the state has regarding how ons are to be conducted (e.g., age of youth inspector, time of inspections,
8.	inspecti training	The procedures applied during Synar inspections mirror those outlined by the FDA re any other legal or procedural requirements the state has regarding how ons are to be conducted (e.g., age of youth inspector, time of inspections, that must occur)?
8.	inspecti training	The procedures applied during Synar inspections mirror those outlined by the FDA re any other legal or procedural requirements the state has regarding how ons are to be conducted (e.g., age of youth inspector, time of inspections, that must occur)? Legal
8.	inspecti training	The procedures applied during Synar inspections mirror those outlined by the FDA re any other legal or procedural requirements the state has regarding how ons are to be conducted (e.g., age of youth inspector, time of inspections, that must occur)? Legal Yes No
8.	inspecti training a.	The procedures applied during Synar inspections mirror those outlined by the FDA re any other legal or procedural requirements the state has regarding how ons are to be conducted (e.g., age of youth inspector, time of inspections, that must occur)? Legal Yes No
8.	inspecti training a.	The procedures applied during Synar inspections mirror those outlined by the FDA re any other legal or procedural requirements the state has regarding how ons are to be conducted (e.g., age of youth inspector, time of inspections, that must occur)? Legal Yes No (If Yes, please describe.)
8.	inspecti training a.	The procedures applied during Synar inspections mirror those outlined by the FDA re any other legal or procedural requirements the state has regarding how ons are to be conducted (e.g., age of youth inspector, time of inspections, that must occur)? Legal Yes No (If Yes, please describe.) Procedural

APPENDIX D: LIST SAMPLING FRAME COVERAGE STUDY

(LIST FRAME ONLY)

		State: Vermont
		FFY: 2020
1.	Calenda	ar year of the coverage study: N/A
2.	a.b.c.d.	$\begin{array}{c} \text{Unweighted percent coverage found: } \underline{N/A}\% \\ \text{Weighted percent coverage found: } \underline{N/A}\% \\ \text{Number of outlets found through canvassing: } \underline{N/A} \\ \text{Number of outlets matched on the list frame: } \underline{N/A} \\ \end{array}$
3.	a.	Describe how areas were defined. (e.g., census tracts, counties, etc.)
		Per CSAP approval, Vermont has a coverage study waiver
	b.	Were any areas of the state excluded from sampling?
		☐ Yes ⊠ No
		If Yes , please explain.
4.	Please a	inswer the following questions about the selection of canvassing areas.
	a.	Which category below best describes the sample design? (Check only one.)
		Census (Go to Question 6.)
		Unstratified statewide sample:
		Simple random sample (Respond to Part b.)
		Systematic random sample (<i>Respond to Part b.</i>)
		Single-stage cluster sample (Respond to Parts b and d.)
		☐ Single-stage cluster sample (Respond to Parts b and d.)☐ Multistage cluster sample (Respond to Parts b and d.)
		 ☐ Multistage cluster sample (Respond to Parts b and d.) Stratified sample: ☐ Simple random sample (Respond to Parts b and c.)
		 ☐ Multistage cluster sample (Respond to Parts b and d.) Stratified sample: ☐ Simple random sample (Respond to Parts b and c.) ☐ Systematic random sample (Respond to Parts b and c.)
		 Multistage cluster sample (Respond to Parts b and d.) Stratified sample: Simple random sample (Respond to Parts b and c.) Systematic random sample (Respond to Parts b and c.) Single-stage cluster sample (Respond to Parts b, c, and d.)
		 ☐ Multistage cluster sample (Respond to Parts b and d.) Stratified sample: ☐ Simple random sample (Respond to Parts b and c.) ☐ Systematic random sample (Respond to Parts b and c.)

	b.	Describe the sampling methods.
	c.	Provide a full description of the strata that were created.
	d.	Provide a full description of how clusters were formed.
5.		orders of the selected areas clearly identified at the time of canvassing?
6.	Were al	l sampled areas visited by canvassing teams?
	Yes	(Go to Question 7.) \square No (Respond to Parts a and b.)
	a.	Was the subset of areas randomly chosen?
		☐ Yes ☐ No
	b.	Describe how the subsample of visited areas was drawn. Include the number
		of areas sampled and the number of areas canvassed.
7.	Were fie	eld observers provided with a detailed map of the canvassing areas?
	☐ Yes	⊠ No
	If No , de	escribe the canvassing instructions given to the field observers.
	Investiga	ators are assigned a geographic area of responsibility
0	11 7	11 . 1
ð.	Were ne	eld observers instructed to find all outlets in the assigned area?
		spond to Question 9.
	If Yes, de	escribe any instructions given to the field observers to ensure the entire area was ed, then go to Question 10.
	this prog	es public facing software to visualize their compliance programs. Investigators use gram to keep track of all licenses outlets and when they were last checked. That can be accessed here https://liquorcontrol.vermont.gov/enforcement

9.	If a full	canvassing was not conducted:
	a.	How many predetermined outlets were to be observed in each area?
	b.	What were the starting points for each area?
	c.	Were these starting points randomly chosen?
		☐ Yes ☐ No
	d.	Describe the selection of the starting points.
	e.	Please describe the canvassing instructions given to the field observers, including predetermined routes.
10	. Describ	e the process field observers used to determine if an outlet sold tobacco.
-		rticipating minor will be instructed not to misrepresent their age or pressure clerk to cco products. Participating minor will present valid photographic identification if nen attempting to purchase tobacco products. If asked about his/her age, the minor nit their correct age. Upon entering the tobacco selling establishment the minor will to observe the clerk selling the tobacco products to obtain a good description. After hase attempt, the minor will exit with or without the tobacco products. If tobacco are purchased, the products are immediately handed over to the assigned
11.		rovide the state's definition of "matches" or "mismatches" to the Synar g frame? (e.g., address, business name, business license number)
12.	. Provide	the calculation of the weighted percent coverage (if applicable).